IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Application of:)
Steven Koenck)
Serial No. 10/787,443)
Filed: February 26, 2004)
For: MODULAR, PORTABLE DATA PROCESSING TERMINAL FOR)
USE IN A COMMUNICATION NETWORK)
Examiner: Fred A. Casca)
Group Art Unit: 2687)
Confirmation No.: 7829)

LITIGATION STATEMENT PURSUANT TO MPEP § 2001.06(C) and INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

The owner of record of the present application, Broadcom Corporation, is currently involved in several disputes with Qualcomm, Inc., including the following pending lawsuit and investigation:

- In the Matter of Certain Baseband Processor Chips and Chipsets, Transmitter and Receiver (Radio) Chips, Power Control Chips, and Products Containing Same, Including Cellular Telephone Handsets, U.S. International Trade Commission Case. No. 377-TA-543.
- Broadcom Corp. v. Qualcomm, Inc., Civil Action No. 05-468 in the Central District of California

Pursuant to MPEP 2001.06(c), the Applicants would like to bring to the attention of the U.S. Patent and Trademark Office that the subject matter currently being claimed in the present

application may be related to at least one of the patents currently asserted by Broadcom in the above-mentioned lawsuit and investigation. For the Office's benefit, Applicants enclose as Exhibit A a list of the patents currently asserted by Broadcom in the above-mentioned pending lawsuit and investigation.

The Applicants have also attached with this electronic submission a completed PTO form PTO/SB/08A which has twenty-nine (29) pages. Copies of each printed reference listed in the PTO/SB/08A form are attached in this electronic submission and nine additional electronic submissions (due to the number of references permitted to be submitted per electronic submission). Applicants, however, have not submitted U.S. Patents or other references previously provided to or by the PTO in this application. Four hundred and sixty-nine (469) references are attached in ten electronic submissions.

The references being submitted have been either cited, produced or relied upon by Qualcomm thus far during the above-mentioned lawsuit and/or investigation. This submission is in no way intended as an admission that the submitted references constitute prior art under any subsection of 35 U.S.C. §102 or §103. Applicant expressly retains the right to argue that any of the cited references are not indeed prior art or to take any actions necessary to remove any of the cited references from the available prior art.

The Examiner is requested to initial both copies of the enclosed PTO/SB/08A and return one copy to the applicants to indicate consideration of the enclosed references.

No fee is believed to be due because:

* The applicant(s) believe(s) that this statement and enclosures are being filed before the first Office action on the merits has been mailed by the PTO. The basis of this belief is that no Office action on the merits appears to have been received by the undersigned to date.

The Commissioner is hereby authorized to charge any fees which are presently required, or credit any overpayment, to Deposit Account No. 13-0017.

Respectfully submitted,

Date: June 5, 2006

Michael T. Cruz Reg. No. 44,636

McAndrews, Held & Malloy, Ltd. 500 West Madison Street, Suite 3400

Chicago, Illinois 60661

(312) 775-8000

EXHIBIT A

<u>Patents Currently Asserted by Broadcom in Civil Action No. 05-468 (C.D. Cal) and U.S.</u> International Trade Commission Case. No. 377-TA-543

5,682,379

6,359,872

6,374,311

6,583,675

6,714,983

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

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Substitute for	substitute for form 1449A/PTO			Application Number	10/787,443
INFORMATION DISCLOSURE				Filing Date	February 26, 2004
			First Named Inventor	Steven Koenck	
	STATEMENT BY APPLICANT			Group Art Unit	2687
			Examiner Name	Fred A. Casca	
(use as many sheets as necessary)				Attorney Docket Number	14425US02
Sheet	1	of	29	Altorney Docker Number	144250302

			U.S. PATENT DO	CUMENTS	
Examiner Initial*	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	A1	4,449,248	05-15-1984	Leslie et al.	
	A2	5,027,428	06-25-1991	Ishiguro et al.	
	A3	5,058,023	10-15-1991	Kozikaro	
	A4	5,058,203	10-15-1991	Inagami, Fujio	
	A5	5,142,534	08-25-1992	Simpson et al.	
	A6	5,224,152	06-29-1993	Harte	
	A7	5,301,225	12-23-1992	Suzuki et al.	
	A8	5,375,254	12-14-1990	Owen	
,	A9	5,420,911	05-30-1995	Dahlin et al.	
	A10	5,590,346	12-31-1996	West et al.	
	A11	5,274,666	12-28-1993	Dowdell et al.	
	A12	5,329,531	07-12-1994	Diepstraten et al.	
	A13	5,329,576	07-12-1994	Handforth	
-	A14	5,371,734	12-06-1994	Fischer	
	A15	5,377,192	12-27-1994	Goodings et al.	
. ,	A16	5,696,468	12-09-1997	Nise	
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	A19	5,910,752	05-08-1999	Filipovic et al.	
	A20	6,348,841	02-19-2002	See	
	A21	6,522,871	02-18-2003	Patrick et al.	
	A22	6,583,675	06-24-2003	Gomez	
	A23	6,593,826	07-15-2003	See	
	A24	6,694,129	02-17-2004	Peterzell et al.	
	A25	6,714,983	03-30-2004	Koenck et al.	
	A26	6,731,146	05-04-2004	Gallardo	
	A27	6,819,197	11-16-2004	Maldanado	
	A28	6.823,033	11-23-2004	Fahim	
****	A29	6,888,913	05-03-2005	Walker	
	A30	6,911,856	06-28-2005	Florescu	
	A31	6,928,275	08-09-2005	Patrick et al.	
	A32	6,937,872	08-30-2005	Krasner	
	A33	4.734.694	03-29-1998	Umetsu et al.	

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This collection of information is required by 3° CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450 Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. Send TO: Commissioner for Patents, P.O. By Tatents, Y.O. By A. 22313-1450.

Substitute for form 1449A/PTO			Complete if	Known	
Substitute for	10m 1449A/PTO			Application Number	10/787,443
	INFORMAT	ION DISCLO	Filing Date	February 26, 2004	
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			Examiner Name	Fred A. Casca	
(use as many sheets as necessary))				Attorney Docket Number	14425US02
Sheet	Sheet 2 Of 29		Attorney Docket Number	144250502	

	A34	4,903,319	02-20-1990	Kasai et al.	
	A35	4,964,121	10-16-1990	Moore	
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···	A40	5,224,150	06-29-1993	Neustein	
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	A43	5,745,523	10-27-1992	Dent et al.	
	A44	6,359,872	03-19-2002	Mahany et al.	
	A45	6,374,311	04-16-2002	Mahany et al.	
	A46	6,764,983	07-20-2004	Hammond et al.	

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Examiner Initials*	Cite No. 1	Foreign Patent Document Country Code ² -Number ⁴ -Kind Code ⁵ (# known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶		
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		OTHER ART NON PATENT LITERATURE DOCUMENTS
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	C1	Respondent Qualcomm Incorporated's Notice Of Prior Art, with Exhibits A & D attached thereto
***************************************	C2	Qualcomm's Response To Interrogatory 3, with Exhibits B & C attached thereto
	C3	INTENTIONALLY LEFT BLANK
	C4	INTENTIONALLY LEFT BLANK
	C5	"A New Chapter In Wireless Communication And Email", Electronic Messaging News, 11/27/1991, Vol. 3, No. 24
	C6	"A New Radio Access Protocol And Network Architecture For Mobile Packet Data", Cognito Group Limited, Bates Nos. QBB569268-323
	C7	"AMDC Extends RFP Deadline To March 10; Waiver Decision Expected At FCC's March Meeting", Industrial Communications, 02/17/1989, No. 7
	C8	"AMDC Picks Ericsson Gear", Communications Week, 06/12/1989, Vol. 252, p. 44
	C9	"An Effective Prioritization Scheme For Handovers In Cellular Networks", IEEE – ICUPC '92 Proceedings, 09/29/1992-10/02/1992, pp. 364-368
	C10	"Ardis Links With NCR Notepad 12/11/91", Newsbytes, 12/11/1991

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Under the Paperwork Reduction act of 1995, no persona are required to respond to a collection of information unless it displays a valid OMB control number Complete if Known Substitute for form 1449A/PTO Application Number 10/787,443 February 26, 2004 INFORMATION DISCLOSURE Filing Date Steven Koenck First Named Inventor STATEMENT BY APPLICANT 2687 Group Art Unit Fred A. Casca Examiner Name (use as many sheets as necessary)) Attorney Docket Number 14425US02 Sheet Of 29

		OTHER ART NON PATENT LITERATURE DOCUMENTS
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published
	C11	"Ardis To Face Competition From RAM", Newsbytes, 02/05/1991
	C12	"Automation In Warehousing", Proceedings Of The 8 th International Conference, Tokyo, Japan, 10/06-08/1987, pp. 1-355
	C13	"Autonomous Radio Stations Possible Over Mobitex", Industrial Communications, 12/09/1988, No. 48
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	C18	"Bellsouth, RAM To Form Mobile Communications Joint Venture", PR Newswire, 10/10/1991, p. 1
	C19	"Briefing Document For The Design Of The Diplema Messager MMI, 03/1989
	C20	"Broadband Lan Technology", 1988
	C21	"BT Opens New Service Center", Communications Week, 11/11/1991, p. 25
	C22	"Business Plan Appendix IV – Financial Projections"
	C23	"C Business Plan" Cognito Group Limited, 11/01/1990
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	C25	"Canadian Cellular Industry (the) – Industry Report", First Marathon Securities Ltd. Industry Report, 10/25/1991, Investext Report No. 1154903, p. 30
	C26	"Cantel To Launch Mobile-Data And Paging Networks", The Gazette, Montreal Quebec, 05/10/1989, p. D1
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	C31	"Cellular System Mobile Station – Land Station Compatibility Specification", Office Of Science Technology Bulletin No. 53, 07/1983
	C32	"Change Request 05.02-11", Recommendation GSM 05.02, v. 3.3.0, 06/22/1989

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Approved for use through 10/31/2002. OMB 0651-0031
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			Examiner Name	Fred A. Casca
(use as many sheets as necessary))			Attorney Docket Number	14425US02
Sheet	Sheet 4 Of 29		Altorney Docket Number	144230002

11.11.12.1	***	OTHER ART NON PATENT LITERATURE DOCUMENTS
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	C33	"Change Request 05.02-14", Recommendation GSM 05.02, v. 3.3.0, 11/28/1989
	C34	"Coded First To Jump On Mobitex Equipment Bandwagon; Sees Data Licenses As Worth As Much As Cellular", Industrial Communications, 06/09/1989, No. 22
	C35	"Communications Personals", Communications Daily, 02/11/1991, Vol. 11, No. 28
	C36	"Complexity, High Price Keep Some Customers Away: Mobile Datacoms Taxes Users", Communications Week International, 04/01/1991, p. 20
	C37	"Consolidation, New Ventures Going Full Speed Ahead In All Markets", Industrial Communications, 10/06/1989, No. 36, p.1
	C38	"Covering Note To Draft Rec. GSM 01.04 Version 2.00.01 - Vocabulary In A GSM PLMN", GSM 01.04 v. 2.00.01, 09/28/1990
	C39	"Cutting The Ties That Bind", InformationWeek, 04/01/1991, Vol. 314, pp. 25-30
	C40	"DEC On Move: Teaming With Ericsson In Mobile Data Market", Communications Week International, 03/18/1991, p. 1
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	C42	"DEC-Ericsson Agreement", Communications Week, 11/11/1991, p. 25
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	C47	"Ericsson – Company Report First Boston Corporation (The)", 06/10/1988, Investext Report No. 813838, p. 9
	C48	"Ericsson – Company Report: Hoare Govett Investment Research Ltd", 10/01/1990, Investext Report No. 1039045, p. 22
	C49	"Ericsson – Company Report: Prudential Securities Inc.", 03/19/1990, Investext Report No. 1001955, p. 10
	C50	"Ericsson - Company Report: Svenska International PLC', Ericsson Company Report, Svensk International PLC, Investext Report No. 1142535, 10/04/1991, p. 2
	C51	"Ericsson (L.M.) (Telefon A/B) – Company Report: FT Analysis", Ericsson (L.M.) (Telefon A/B Company Report FT Analysis , Investext Report No. 6027183, 11/19/1991, p. 3
	C52	"Ericsson And Digital Cooperate On Mobile Communications", Newsbyte News Network 10/08/91, Newsbytes, 10/08/1991
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Sheet	5	Of	29	Attorney Docker Number	144230302	

		OTHER ART NON PATENT LITERATURE DOCUMENTS
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	C54	"Ericsson And GE And Speedwing Mobile Communications Team Up To Bring Mobile Data Communications To Airports Worldwide", Business Wire, San Francisco, 09/17/1991, p. 1
	C55	"Ericsson And GE Have Formed Joint Venture", Communications Daily, 08/28/1989
	C56	"Ericsson Announces Communications Software", Industrial Communications, 03/01/1991, No. 9
	C57	"Ericsson GE And Melard Technologies Announce A Strategic Alliance In Mobile Data Communications", Business Wire, San Francisco, 09/30/1991, p. 1
	C58	"Ericsson GE And Racotek Announce A Strategic Relationship For Mobile Data Communications", Business Wire, San Francisco, 09/16/1991, p. 1
	C59	"Ericsson GE And Racotek Inc. In Marketing Supply Deal", Alliance Alert-Medical Health, Vol. 2, No. 10, 10/01/1991,
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	C61	"Ericsson GE Awarded \$11.6 Million Contract From The U.S. Navy", Business Wire, San Francisco, 11/10/1993, p.1
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	C63	"Ericsson GE In Development Deal With Anterior And RIM", Newsbyte News Network, 11/20/1991
	C64	INTENTIONALLY LEFT BLANK
	C65	"Ericsson GE In Joint Ventures To Develop Wireless E-Mail, Telecommunications Alert, 11/19/1991, Vol. 8, No. 38
	C66	"Ericsson GE Mobile Communications New R&D Center Begins Operations In North Carolina Business Wire, San Francisco, 11/27/1990, p. 1
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	C68	"Ericsson GE Mobile Communications To manage Its Worldwide Cellular Telephone Busines From RTP, N.C.", Business Wire, San Francisco, 04/24/1991, p. 1
	C69	"Ericsson GE Mobile Data And BRM Mobile Data Sign Agreements With GE Consumer Service", News Release, 07/25/1991, p.1
	C70	"Ericsson GE Mobile Data And RAM Mobile Data Sign Agreements With GE Consumer Services", Business Wire, San Francisco, 05/09/1991, p. 1
	C71	"Ericsson GE, Anterior Technology & Research In Motion (RIM) – Announce Wireless Access For E-Mail Users", News Release, 11/18/1991, p. 1

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		OTHER ART NON PATENT LITERATURE DOCUMENTS
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	C72	"Ericsson Gets \$55M Pact for Cellular Radio Data Gear", Electronic News (1991), p. 19, 06/12/1989
	C73	"Ericsson Has Data Unit" Communications Week, 01/08/1990, p. 8
	C74	"Ericsson Mobile Data Formed In New Jersey", Communications Daily, 01/05/1990
	C75	"Ericsson Signs \$55 Million Agreement With RAM Broadcasting For Mobile Data Network", Industrial Communications, 06/02/1989, No. 20
	C76	"Ericsson To Introduce Radio Modem Next Month", Telecommunications Alert, 12/17/1991, Vol. 8, No. 56
	C77	INTENTIONALLY LEFT BLANK
	C78	"Ericsson/GE Announces New Agreements", Industrial Communications, 10/04/1991, No. 40
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Substitute for	Substitute for form 1449A/PTO			Application Number	10/787,443	
	INFORMAT	ION DISCLO	SURE	Filing Date	February 26, 2004	
STATEMENT BY APPLICANT (use as many sheets as necessary))				First Named Inventor	Steven Koenck	
				Group Art Unit	2687	
				Examiner Name	Fred A. Casca	
				Attorney Docket Number	14425US02	
Sheet	heet 7 Of 29		Attorney Docket Number	144230302		

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Substitute for	10m 1449AVP10			Application Number	10/787,443	
	INFORMATION DISCLOSURE			Filing Date	February 26, 2004	
	First Named Inventor	Steven Koenck				
	STATEMENT BY APPLICANT			Group Art Unit	2687	
				Examiner Name	Fred A. Casca	
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	INFORMATI	ON DISCLOS	SURE	Filing Date	February 26, 2004	
STATEMENT BY APPLICANT				First Named Inventor	Steven Koenck	
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				Examiner Name	Fred A. Casca	
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Substitute for	ubstitute for form 1449A/PTO			Application Number	10/787,443	
	INFORMATI	ON DISCLOS	SURF	Filing Date	February 26, 2004	
STATEMENT BY APPLICANT				First Named Inventor	Steven Koenck	
	STATEMEN	I BI APPLIC	Group Art Unit	2687		
			Examiner Name	Fred A. Casca		
	(use as man	y sheets as necessar	Attorney Docket Number	14425US02		
Sheet	14	Of	29	Attorney Docket Number	144250302	

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	INFORMAT	ION DISCLOSURE	Filing Date	February 26, 2004	
STATEMENT BY APPLICANT			First Named Inventor	Steven Koenck	
	SIAIEMEN	II BY APPLICANT	Group Art Unit	2687	
			Examiner Name	Fred A. Casca	
(use as many sheets as necessary))			Attorney Docket Number	14425US02	
Sheet	Sheet 15 Of 29		Attorney Docket Number	144250302	

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STATEMENT BY APPLICANT			First Named Inventor	Steven Koenck	
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			Examiner Name	Fred A. Casca	
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				Examiner Name	Fred A. Casca
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			First Named Inventor	Steven Koenck	
	STATEMENT BY APPLICANT			Group Art Unit	2687
				Examiner Name	Fred A. Casca
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Sheet	heet 22 Of 29		Attorney Docket Number	144230302	

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	T	OTHER ART NON PATENT LITERATURE DOCUMENTS Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the
Examiner	Cite	item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue
Initials*	No.1	number(s), publisher, city and/or country where published
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Substitute for	10m 1449A/P10		Application Number	10/787,443
INFORMATION DISCLOSURE STATEMENT BY APPLICANT			Filing Date	February 26, 2004
			First Named Inventor	Steven Koenck
			Group Art Unit	2687
			Examiner Name	Fred A. Casca
(use as many sheets as necessary))			Attorney Docket Number	14425US02
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	C495	INTENTIONALLY LEFT BLANK
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